02-25-02

PTO/SB/05 (08-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY

| Attom | ey Docket No. | 2100752-991030 | TO | |
|----------------|-----------------|---|-------------|--|
| First Inventor | | Johal et al. | <u>т</u> | |
| Title | | AND SYSTEMS FOR USING ED BUSINESS DATA USING | u.s 1818 | |
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| -4 | UTILITY | | Attorney Docket No. 2100752-991030 P | | | | |
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| ·) | | PATENT APPLICATION | First Inventor Johal et al. | | | | |
| | <u>.</u> | TRANSMITTAL | METHODS AND SYSTEMS FOR USING | 70 | | | |
| | o U (Only fo | r new nonprovisional applications under 37 CFR 1.53(b)) | DISTRIBUTED BUSINESS DATA USING 5 | <u>-</u> | | | |
| 2 | (Only to | Thew nonprovisional applications under 37 OFTC 1.33(0)) | Express Mail Label No. EL 904 946 505 US | 7 | | | |
| 26 | | APPLICATION ELEMENTS | Commissioner of Patents and Trademark | s | | | |
| /02 | ທີ See MP າປ | EP chapter 600 concerning utility patent application contents | ADDRESS TO: Box Patent Application | Ī | | | |
| | K N F | ee Transmittal Form (e.g., PTO/SB/17) | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>) | | | | |
| | 2. | Submit an original, and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27. | Computer Program (Appendix) Nucleotide and/or Amino Acid Sequence Submissic (if applicable, all necessary) | חנ | | | |
| | | Specification [Total Pages: 29 |] a. | | | | |
| | (1 | preferred arrangement set forth below) | b. Specification Sequence Listing on: | | | | |
| | | -Descriptive title of the invention -Cross Reference to Related Applications | i. CD-ROM or CD-R (2 copies; or | | | | |
| vi | - | -Statement Regarding Fed sponsored R&D | ii. paper | | | | |
| | - | Reference to sequence listing, a table, or a computer program listing appendix | | | | | |
| | | -Background of the Invention | c. Statements verifying identify of above copie | s | | | |
| | | -Brief Description of the Drawings (if filed) -Detailed description | ACCOMPANYING APPLICATION PARTS | | | | |
| Plans. | - | -Claim(s) -Abstract of the Disclosure | 9. Assignment Papers ((cover sheet & document(s)) | | | | |
| The first and the | | Abdulact of the Biological | 10. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney by Assignee | | | | |
| Part of | 4. 🛛 [| Drawings(s) (35 U.S.C. 113) [Total Sheets 8] | 11. English Translation Document (if applicable) | | | | |
| And the first | 5. 🛛 (| Dath or Declaration [Total 3] | 12. Information Disclosure Copies of II Statement (IDS)/PTO-1449 Citations | os | | | |
| E | a | a. Newly executed (original or copy) | 13. Preliminary Amendment | | | | |
| Party Street | . b | Copy from a prior application (37 CFR 1.63(d)) | 14. Return Receipt Postcard (MPEP 503) | | | | |
| Array. | | (for continuation/divisional with Box 18 completed) | (Should be specifically itemized) 15. | | | | |
| Month | | i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) | (if foreign priority is claimed) | | | | |
| Street from And | | named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. | | | | |
| The state of the s | 6. | Application Data Sheet. See 37 CFR. 1.76. | Express Mail Certificate; Check in the amount of \$452.00. | _ | | | |
| | | | | | | | |
| | | NTINUING APPLICATION, check appropriate box, and supply the Data Sheet under 37 CFR 1.76: | e requisite information below and in a preliminary amendment, or in an | | | | |
| | ☐ Cont | inuation Divisional Dontinuation-in-pa | of prior acceptance No.:/ | | | | |
| | Prior application information: Examiner: Group/Art Unit: | | | | | | |
| | For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | |
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| | ⊠ Custo | omer Number or Bar Code Label | or Correspondence address belo |)W | | | |
| | Name 26370 | | | | | | |
| | Address PATENT TRADEMARK OFFICE | | | | | | |
| | City | State | Zip Code | | | | |
| | Country | Telephone | Fax | | | | |
| | NAMI | E (Print/Type) Ronald L. Yin | Registration No. (Attorney/Agent) 27,607 | | | | |

| NAME (Print/Type) | Ronald L. Yin | Registration No. (Attorney/Agent) | 27,607 |
|-------------------|---------------|-----------------------------------|-------------------|
| Signature | Rouald & Ym | Date | February 20, 2002 |

Express Mail Label Number: EL 904 946 505 US

I hereby certify that this Utility Patent Application is being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner of Patents & Trademarks; Washington, DC 20231.

Rosa A. Caviedes

February 20, 2002

Date

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Signature

| CERTIFICATE O | OF MAILING BY "EXP | PRESS MAIL" (37 CF | R 1.10) | Docket No. | | | | |
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| Applicant(s): Johal | 2100752-991030 | | | | | | | |
| Serial No. Filing Date Examiner Group Art Unit | | | | | | | | |
| i | Serial 1(c. | | | | | | | |
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| Letter (2 pgs). Util | ity Patent Application (29 | ngs.) with 8 sheets of | Informal | Drawings; | | | | |
| Declaration for Pate | ent Application- Signed (| 3 pgs.). Recordation Co | ver Sheet | t w/Assignment – | | | | |
| (5 per): Power of | Attorney by Assignee - Si | gned (2 ngs): Check fo | r \$452.00 |): and Return Post | | | | |
| | Attorney by Assignee - Bi | gneu (2 pgo.), encer re | 2 4 10 = 10 | | | | | |
| Card. | | | | | | | | |
| | (Ide | entify type of correspondence) | | | | | | |
| I service under 37 CI | is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner of Patents and Trademarks, Washington, D.C., 20231-0001 on February 20, 2002 (Date) | | | | | | | |
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| | | Typed or Printe | a A. Cavi | rson Mailing Correspondence) | | | | |
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| (Signature of Person Mailing Correspondence) | | | | | | | | |
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

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| Application Number | Application Number Not yet assigned | | | | | |
| Filing Date | February 20, 2002 | | | | | |
| First Named Inventor | Johal et al. | | | | | |
| Examiner Name | Not yet assigned | | | | | |
| Group Art Unit | Not vet assigned | | | | | |
| Attorney Docket No. | 2100752-991030 | | | | | |

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| Charge Any additional Fee Required Under 37 CFR 1.16 and 1.17 | Account Gray Cary Ware & Freidenrich LLP | 127 | 50 | 227 | 25 | Surcharge - late prov sheet | visional filing fee or | cover |
| Applicant claims small entity status 117 250 117 250 117 250 117 250 117 250 117 250 117 250 117 250 117 250 117 250 117 250 117 250 117 250 | Characteristics of Configuration | 139 | 130 | 139 | 130 | Non-English specific | ation | |
| Applicant claims small entity status Septiment 200 Septi | Under 37 CFR 1.16 and 1.17 | 147 | 2,520 | 147 | 2,520 | | | ination |
| 2. | Applicant claims small entity status. | 112 | | 112 | | Examiner action | | |
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| The content of the | 2. 🖂 Payment Enclosed: | 115 | 5 110 | 215 | 55 | Extension for reply v | vithin first month | |
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| 11. BASIC FILING FEE Large Entity Small Entity Fee | FEE CALCULATION | 117 | 920 | 217 | 460 | Extension for reply v | vithin third month | |
| Large Entity Fee | | 118 | 3 - 1,440 | 218 | 720 | Extension for reply v | vithin fourth month | |
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| 114 160 214 80 Provisional filling fee 141 1,280 241 640 Petition to revive — unintentional 141 1,280 242 640 Utility issue fee (or reissue) 2. EXTRA CLAIM FEES | | 138 | 3 1,510 | 138 | 1,510 | Petition to institute a | public use proceed | ding |
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| 2. EXTRA CLAIM FEES Extra Claims 15 | | 14 | 1 1,280 | 241 | 640 | Petition to revive - u | nintentional | |
| Submitted By Fee From Fee From Fee From Fee Paid | SUBTOTAL (1) (\$) 370.00 | 142 | 2 1,280 | 242 | 640 | Utility issue fee (or re | eissue) | |
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| Independent 4 -3** = 1 X 42 = 42 Claims Multiple Dependent | | id 144 | 4 620 | 244 | 310 | Plant issue fee | | |
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| Multiple Dependent X | masponasin . | | 3 50 | 123 | 50 | Processing fee under | er 37 CFR 1.17(q) | |
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| **or number previously paid, if greater; For Reissues, see above SUBMITTED BY Name (Print/Type) Ronald L. Yin Registration No. (Attorney/Agent) 27,607 Telephone (650) 833-2000 | 110 18 210 9 and over original patent | _ * Re | * Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00 | | | | | |
| SUBMITTED BY. Name (Print/Type) Ronald L. Yin Registration No. (Attorney/Agent) 27,607 Telephone (650) 833-2000 | | | | | | | | |
| Name (Print/Type) Ronald L. Yin Registration No. (Attorney/Agent) 27,607 Telephone (650) 833-2000 | **or number previously paid, if greater; For Reissues, see above | | | | | | | |
| Name (Print/1ype) Rolladu L. 1111 (Attomey/Agent) 21,007 | | | | | | | | |
| Signature Kanald L. Um Date February 20, 2002 | Name (Print/Type) Ronald L. Yin | | Registi (Attorne | ration N y/Agent) | 10. | 27,607 | Telephone (6 | 850) 833-2000 |
| | Signature Konald L. U | <u>~</u> | | | | | Date Fo | ebruary 20, 2002 |